

3C16 Reg'd PCT/PTO SEP 14 2001

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER P01,0032
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/786,742
INTERNATIONAL APPLICATION NO. PCT/DE99/02721	INTERNATIONAL FILING DATE 01 September 1999	PRIORITY DATE CLAIMED 08 September 1998	
TITLE OF INVENTION "LASER RADIATION SOURCE"			
APPLICANT(S) FOR DO/EO/US Heinrich Jürgensen			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Executed 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11. to 16. below concern other document(s) or information included:			
11. <input checked="" type="checkbox"/> A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report) .			
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. SEE ATTACHED ENVELOPE			
13. <input type="checkbox"/> A FIRST preliminary amendment. • <input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. Submittal of Executed Declaration, Drawings and Second Preliminary Amendment			
14. <input checked="" type="checkbox"/> A substitute specification. - Marked up version of Substitute Specification			
15. <input type="checkbox"/> A change of power of attorney and/or address letter.			
16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input type="checkbox"/> b. <input checked="" type="checkbox"/> EXPRESS MAIL #EL843742761 US dated September 14, 2001. 			

09/786,742

PCT/DE99/02721

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BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search
fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims
satisfied provisions of PCT Article 33(2)-(4) \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$130.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the
earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0

Claims

Number Filed

Number
Extra

Rate

Total Claims

71

- 74 =

0

X \$ 18.00

\$ 0

Independent Claims

3

- 4 =

4

X \$ 80.00

\$0

Multiple Dependent Claims

\$270.00 +

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 0

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be
filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$ 130.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 0

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

TOTAL FEES ENCLOSED =

\$130.00

09/20/2001 MKAYPAGH 00000021 09786742

01 FC:154

130.00 OP

Amount to be
refunded \$

charged \$

- a. ☒ A check in the amount of \$ 130.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite
Patent Department
6600 Sears Tower
Chicago, Illinois 60606
CUSTOMER NO. 26574

SIGNATURE

Brett A. Valiquet
NAME

27,841
Registration Number

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EL843742761 US

Date of Deposit: September 14, 2001

I hereby certify that this CORRESPONDENCE IS BEING DEPOSITED WITH THE United States Postal "Express Mail Post Office To Addressee" SERVICE UNDER 37 CFR 1.10(c) on the date indicated above and is addressed to:

**Hon. Assistant Commissioner for Patents
Washington, D. C. 20231**

Case Number: P01,0032

**International Application No.
PCT/DE99/02721**

**International Filing Date
01 September 1999**


**Priority Date Claimed
08 September 1998**

Title: "LASER RADIATION SOURCE"

Applicants: Heinrich Jürgensen

Enclosed are the following documents:

PTO 1390 in duplicate;
Submittal of Executed Declaration, Drawings and Second Preliminary Amendment
Substitute Specification
Marked up copy of Substitute Specification
Supplemental Information Disclosure Statement, Refs. Form 1449
Executed Declaration
Executed Assignment (Separate Envelope) Fee \$40.00
\$130.00
Postcard



Signature of Person Mailing Documents and Fee

This redlined draft, generated by CompareRite (TM) - The Instant Redliner, shows the differences between -

original document : P:\H&S C DRIVE\MYFILES\844\P010032\P010032-SUBSTITUTE SPECIFICATION.WPD

and revised document: P:\H&S C DRIVE\MYFILES\844\P010032\P010032-SECOND SUBSTITUTE SPECIFICATION.WPD

CompareRite found 223 change(s) in the text

Deletions appear as Normal text surrounded by []

Additions appear as Underline text